



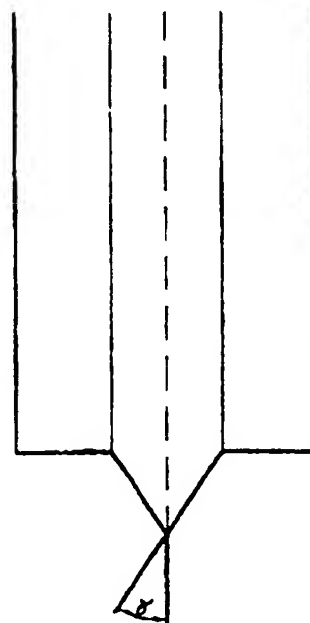
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(54) Title: PRODUCING HIGH THROUGHPUT TAPERED STRAIGHT AND CANTILEVERED GLASS STRUCTURES FOR NANODELIVERY AND NANOSENSING

## (57) Abstract

Methods for making tapered straight and cantilevered structures and the resulting structures provide maximal nanodelivery of electromagnetic radiation and chemicals and provide nanosensing of ionic phenomena with high efficiency. Glass pulling techniques with specific protocols are applied to glass micropipettes and optical fibers.



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Producing High Throughput Tapered Straight  
and Cantilevered Glass Structures  
for Nanodelivery and Nanosensing

5     1. Field of the Invention

          This invention allows the production of tapered straight and cantilevered structures that are optimized for the maximal nanodelivery of electromagnetic radiation and chemicals and for  
10   the maximal sensitivity in the nanosensing of ionic phenomena with high efficiency. These structures are generated so that they can simultaneously act as force sensors with excellent dynamic characteristics. Unlike previous attempts  
15   at obtaining such elements with chemical etching techniques our methodology is based solely on the application of glass pulling technologies with specific protocols that can minimize the subwavelength travel of light waves and can  
20   maximize the dynamic capabilities of these devices. Unlike the glass etching techniques these glass pulling technologies are universally applicable to glass micropipettes and fibers. In addition optimal geometries of force sensing glass  
25   micropipettes are invented for the optimal delivery of chemicals in nanoquantities in a defined and in a nanometrically controlled fashion.

2. Background of the Invention

30       This invention is based on advances in near-field optics.

          Near-field optics is the development of optical elements that can work in the near-field of an object that is to be interrogated by light.  
35   In essence, the objective is to develop optical

elements that can illuminate, detect and/or enhance optical phenomena within a distance, from the object, that is considerably less than the dimensions of one wavelength. Conventional optical instruments all of which work in the far-field are based on lenses which critically depend on the wave nature of light. Thus, these elements are inherently limited to operation in the far-field with associated problems of diffraction that intrinsically limits resolution to approximately half the wavelength of light. Near-field optical elements not only overcome this diffraction limit of resolution but also relax the wavelength dependence of optical resolution. In its simplest implementation [A. Lewis, M. Isaacson, A. Hartoonian and A. Murray, Biophysical J. 41, 405a (1983); Ultramicroscopy 13, 227 (1984).] near-field optics involves transmitting light through a subwavelength aperture that is brought in close proximity to a surface. The sample or the aperture is then scanned in order to obtain an image or create a pattern with the subwavelength spot of light that emanates from this near-field optical element.

### 25     3. State of Prior Art

          The method of choice that is used throughout the world today to produce near-field optical elements was developed by Lewis et al. [United States Patent Number 4,917,462]. The essence of the methodology of Lewis et al was to use heating, tension and pulling with microprocessor control to produce tapered glass structures that were subsequently coated with metal to form a subwavelength aperture. This was a simple, cheap

and reliable method of producing these subwavelength optical elements. Nonetheless, these elements were produced without much regard to the geometry of the subwavelength tip in terms of reducing the subwavelength region through which the light wave traversed. It is important to realize that the technology in the Lewis et al patent was a general methodology for producing these subwavelength tips through which subwavelength points of light could be produced. This generality can be seen by the fact that the same technology could be used to produce tapered optical elements made out of glass capillaries [A. Harootunian, E. Betzig, M. S. Isaacson and A. Lewis, Appl. Phys. Lett. 49, 674 (1986)] or glass fibers [Betzig E., Trautman J. K., Harris T. D., Weiner J. S. and Kostelak R. L., Science 251, 1468 (1991)]. All of these structures, however, had less than optimized geometries at the tip and thus there were significant evanescent losses that resulted from the transmission of the electromagnetic radiation through the tip.

The first attempts at resolving this problem were made by workers who realized that geometries at the tip that were closer to what would be optimal for high transmission of the light wave through the subwavelength region could be approached with chemical etching of glass fibers [Ohtsu, M., S. Juang, T. Pangaribuan and M. Kozuma, Proceedings of NFO-1, 131-139 (1993); Jiang, S., Ohsawa, H., Yamada, K., Pangaribuan, T., Ohtsu, M., Imai, K., and Ikai, A., Jpn. J. Appl. Phys. 31, 2282 (1992)] without any previous tapering. Such structures had the potential to generate high throughputs if the coating of these

structures could be effectively performed. The coating, however, was problematic since the etching technology resulted in damaged surfaces that were difficult to impossible to coat successfully. In addition, the angle required for coating such an etched structure was not compatible with the geometry of such untapered etched fibers. Furthermore, the geometry of such untapered elements (see Figure 1) perturbed significantly the ability of such elements to track rough surfaces. Finally, the untapered nature of these elements made the glass leading to the subwavelength tip very stiff and this crucially reduced the ability of such tips to monitor surface forces. It was this sensitivity of the tapered tips to surface forces [Shalom S., Lieberman K. Lewis A. and Cohen S. R., Rev. Sci. Instrm. 63, 4061 (1992)] that allowed the resolution of one of the principle problems of near-field optics which was the ability to bring such a subwavelength tip close to a surface that was to be interrogated. Also none of the etching methodologies could be applied to tapered micropipette structures whereas the pulling technology is applicable to both tapered micropipettes and optical fibers.

In view of all of these factors it would be best if the standard tapering methodology could be extended to produce a high throughput tip that would also be capable of effectively sensing surface forces.

#### 4. Summary of the Invention

This invention uses pulling technologies as applied to glass tubes to generate without any

chemical etching a profile that is ideal to produce a high transmission subwavelength optical aperture with very good atomic force sensing capabilities. The same pulling technology with small amendments can be used to optimize similar structures that can combine force sensing capabilities with such applications as the nanodelivery of materials to surfaces.

#### 5. Brief Description of the Drawings

The objects, features and advantages of the present invention will be understood by those of skill in the art from the following detailed description thereof, taken with the accompanying drawings, in which:

Figure 1 is a diagrammatic illustration of a glass tube tapered in accordance with the present invention;

Figure 2 is a diagrammatic illustration of a tube tapered during a second tapering cycle;

Figure 3 illustrates a mechanism for coating a tapered optical fiber;

Figure 4a illustrates a preferred optical fiber taper for force sensing application;

Figure 4b illustrates a preferred micropipette taper for force sensing applications;

Figure 5 illustrates a cantilevered bent fiber tip;

Figure 6 illustrates a cantilevered pipette constructed in accordance with the invention;

Figure 7 illustrates a cantilevered pipette used for chemistry; and

Figure 8 illustrates a device for applying coating to structures.

## 6. Description of the Invention

With pulling technology there are many parameters that can be adjusted to obtain the optimal profile. These are: 1. heat or temperature, 2. the geometric region that is heated, called the filament, 3. the velocity of the pulling that is measured as the glass softens and begins to separate under a constant load and this velocity is determined by the time of heating, 4. the delay between the start of the pulling and turning off the heating element and 5. the tension of the pulling. These can all be microprocessor controlled to develop a geometry in which the glass is first tapered to a dimensionality that is above the cut-off for the propagation of electromagnetic radiation in a metallic waveguide (Figure 2.1) and is then cooled before a second tapering cycle is entered in which a cone angle between 30-40° (Figure 2.2) is achieved by multiple pulling cycles. This geometry is shown in Figure 2. The number of these later cycles depends on the final outer diameter of the tip. In addition to the above these parameters can be altered in order to tailor the devices for other applications such as chemical delivery of materials in nanodimensionalities, optical ion sensing and to optimize the probes for the dynamic movements of the surfaces of the sample initiated by some external stimulus such as light, sound, electricity etc.

### 5.1 Straight optical elements for maximum throughput of electromagnetic radiation

#### 5.1.1 Tapered Optical Fibers



5.1.1.1 Pulling

The above pulling technology can be applied with the following parameters for straight optical

5 fibers in order to get the maximum powers described in this patent.

For the first taper appropriate parameters would be:

Heat	Filament	Velocity	Delay	Pulling
250	3	80	250	10

10 For the second taper there is a series of three pulls for a 0.1 micron tip with parameters that could be:

	Heat	Filament	Velocity	Delay	Pulling
	250	0	7	150	10
15	250	0	10	150	60
	290	0	15	126	100

5.1.1.2 Coating

20 The coating is accomplished with vacuum deposition of metal. One particular example is a thin chrome coating that is then overcoated with a thicker coating of aluminum. For straight elements this coating is accomplished with an angle of 70° between the normal of the optical element and a line drawn between the tip and the

25 filament (see Figure 3). This angle,  $\alpha$ , is crucial for the final dimension of the subwavelength aperture at the tip of this second region of taper. The larger the distance between the filament and the tip the more control of the

30 accuracy of the dimension of the aperture. This

occurs because the cotan  $\alpha$  approaches the cos of this same angle.

#### 5.1.1.3 Force Sensing Capabilities

5 To optimize the force sensing capabilities of these tips the initial taper dimension is chosen to be approximately 20 microns in diameter for region of 100 microns length just above the second taper (see Figure 4 a). This is the region in  
10 straight fibers that the flexibility of the structure is monitored. This dimensionality controls the resonance frequency and this frequency,  $f$ , can be altered up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz.

#### 15 5.1.2 Tapered Micropipettes

##### 5.1.2.1 Pulling

Unlike the etching methodologies, the same pulling technology can be also applied to micropipettes. Such micropipettes have important  
20 applications in guiding deep ultraviolet light where optical fibers are not effective. To obtain the optimal parameters we use the following protocol:

	Heat	Filament	Velocity	Delay	Pulling
25	390	15	105	200	20

For the second taper there is a series of three pulls for a 0.1 micron tip with parameters that could be:

Heat	Filament	Velocity	Delay	Pulling
220	0	5	200	18
200	0	5	200	15
200	0	30	126	40

#### 5 5.1.2.2 Coating

The coating is accomplished with vacuum deposition of metal. One particular example is a thin chrome coating that is then overcoated with a thicker coating of aluminum. For straight elements this coating is accomplished with an angle of  $70^\circ$  between the normal of the optical element and a line drawn between the tip and the filament (see Figure 3). This angle is crucial for the final dimension of the subwavelength aperture at the tip of this second region of taper. The larger the distance between the filament and the tip the more control of the accuracy of the dimension of the aperture. This occurs because the cotan  $\alpha$  approaches the cos of this same angle.

#### 5.1.2.3 Force Sensing Capabilities

To optimize the force sensing capabilities of these tips the initial taper dimension is chosen to be approximately 25 microns in diameter for region of 100 microns length just above the second taper (see Figure 4 b). This is the region in straight tips that the flexibility of the structure is monitored. This dimensionality controls the resonance frequency and this frequency,  $f$ , can be altered up to 60 kHz with a width of the resonance,  $\Delta f$ , that can be 0.3 kHz.

### 5.1.3 Cantilevered Fibers

Fibers are bent at the tip according to the procedure that is described by K. Lieberman and A. Léwis, "A Method and a Device for Probe Microscopy" [PCT Application Serial Number PCT/US94/08691]. This bent fiber structure is seen in Figure 5. The angle of the bend in this structure is between 45-60°. The pulling, coating and the resulting resonance frequencies are the same except that the angle of the coating is 85°. In the case of these cantilevered elements such elements can be used for the dynamic sensing of surface movement initiated by an external stimulus such as light, sound, electricity etc.

### 5.1.4 Cantilevered Pipettes

The pulling and coating parameters are the same as described above for straight pipettes. However, during the bending operation as described in the Lieberman and Lewis patent application [PCT Application Serial Number PCT/US94/08691] care has to be taken not to block the hollow central core of the pipette. In the case of these cantilevered elements such elements can be used for the dynamic sensing of surface movement initiated by an external stimulus such as light, sound, electricity etc.

#### 5.1.4.1 Cantilevered Pipettes For Light

The structure that is obtained by these pulling parameters is shown in Figure 6. This geometric structure is similar in overall characteristics to what has been obtained with a bent fiber. However, the resonance frequencies

that can be achieved for this structure are somewhat lower.

#### 5.1.4.2 Cantilevered Pipettes For Chemistry

5 In this application a liquid or a gas is transmitted through the narrow opening at the tip of the cantilevered micropipette. Such an uncoated tapered micropipette with force sensing capabilities and a reflective coating only on the cantilever allows the tip to be brought into  
10 contact with a surface and this permits the delivery of nanoquantities of material onto the surface. This causes a chemical change on appropriately chosen surfaces if the nature of the chemical in the tip and the chemical in the  
15 surface are reactive one with the other.

In the case of a liquid, under one method of control of the liquid that exists, the tip is accomplished by pressure of the liquid column, by chemical manipulation of the hydrophilicity of  
20 the surface and the tip and by the nature of the tip geometry. Specifically on this last parameter the requirement is exactly opposite to what is required for the light funnels tips discussed above. When the surface is  
25 appropriately wet by the material in the tip the cone angle of the tip can be as small as  $7^\circ$ . This prevents spreading of the liquid column. Measured resonance frequencies of these structures have been measured at 200 kHz. Additional  
30 characteristics that are important in such tips is the need to have high resonance frequencies that will allow for intermittent contact operation and to effectively permit imposed resonances on the tip that will allow, in the case of a liquid

chemical in the tip, for the column of liquid to be effectively and reproducibly broken. Another characteristic difference for chemicals exiting the tip that wet surfaces is the angle of the bend and this angle can reach 90° instead of the 60° that is more characteristic of the light funnels (Fig.7). For other surfaces/tip chemical combinations other angles, tip geometries and resonance frequencies have to be chosen for the most appropriate writing with such tips. Also the force sensing capabilities are of importance in order to give the best sensitivity for measuring the chemical alterations on the surface that have occurred.

5.1.4.3 Cantilevered Tapered Micropipettes For Ion Conductance Microscopy

The pulling and coating parameters are similar to those that are described in the tips that are used for chemical delivery.

5.1.4.4 Cantilevered Tapered Micropipettes For Combined Ion Conductance and Optical Ion Sensing

The pulling and coating parameters are similar to those that are described in the tips that are used for nanodelivery of light since fibers are inserted to illuminate an ion sensing dye filled sol-gel tip from the inside of the micropipette. An additional characteristic is that the tip has to generate sufficient capillary forces to draw a sol-gel into the tip in which there is a dye which changes in optical characteristics with surrounding ion concentration. These sol-gels are conductive to liquid and can be used for both ion conductance

and optical ion sensing. It is best that such structures that are to be used in optics are completely coated with metal as is the case in the optical funnels. Materials other than sol-gels  
5 can also be used to act as vessels for these dyes.

### 5.3 NanoSensing of Surface Dynamics

Optimization of the force sensing capabilities of the probes described above is achieved by modulating the dimension of the taper  
10 of the glass in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency. By controlling this dimension the frequency,  $f$ , can be altered by up to 500 kHz with a width of the  
15 resonance,  $\Delta f$ , that can be 0.75 kHz. This allows for maximal response of the tips to dynamic movements of the surface and/or the maximal sensitivity of the tips for the application of non-contact protocols in measuring surface forces.

### 20 5.4 A Device for Appropriate Coating of the Structures Described Above

To achieve appropriate coatings a device was constructed as shown in Figure 8. This device is based on vacuum bell jar and consists of a base  
25 8.1, rotating sealed shaft 8.2, electromotor 8.3, drive gear wheel 8.4 and set of driven gear wheels 8.5 that are mounted on the periphery of a cup 8.6. Rotating mounts 8.7 of tapered straight and/or cantilevered micropipettes and fibers 8.8  
30 are mounted on pillars 8.9 and connected with driven wheel axles by flexible shafts 8.10. The device operates as following: The motor 8.3 rotates shaft 8.2, which rotates wheel 8.4 and

wheels 8.5. Furthermore, the rotary motion is transmitted on mounts 8.7 with fibers 8.8 by shafts 8.10. The angle of the tapered glass elements may be changed by a mount that can be raised and lowered and can turn around on the axle 8.11. So that the rotation of the tapered straight or cantilevered micropipette or fiber during the coating process provides uniformity of deposition, and its rotation provides the opportunity to prevent the coating of the tip itself.

#### 6. Advantages Over Prior Art

The techniques described in this patent that can produce high efficiency throughput of light through tapered glass structures with subwavelength apertures at the tip are unique. Previously, all such attempts at producing such structures were based on etching with chemicals. We have achieved high throughputs by inventing a series of pulling protocols that permit very high efficiency transmission of light with excellent capabilities for all force sensing protocols. This has never been achieved before. The throughputs that we have achieved permit the generation of ultrasmall spots of light that could extend to below 20 nm with significant intensities of light in these tips. In addition with small amendments these structures can be altered for excellent delivery of nanoquantities of chemicals with nanometric control of chemistry using the force sensing capabilities of these structures and other uses such as combined force and ion sensing etc.



7. Applications

A variety of applications are envisioned in optics, electronics, chemistry, physics and biology where light of small dimensions is required. Where simultaneous force imaging is required correlated with optical images these elements can also be used. In addition the structures that have been amended slightly to deliver nanoquantities of chemicals effectively can also be used in a variety of applications where nanometric control of chemistry is required.

While the invention has been described in its presently preferred embodiment, it will be understood that certain modifications can be made in the basic design without departing from the spirit of the invention as set forth in the appended claims that is appropriately coated with metal that includes a tapered region which is above the cut-off for the transmission of this radiation having a cone angle of  $6-10^\circ$  and a subsequent tapered section that has a much larger cone angle that can be as much as  $45^\circ$  such a structure being optimized for both high throughput light transmission and excellent capabilities for force sensing as a result of having an ultrahigh resonance frequencies for example 500 kHz and a Q factor of 600 and can thus be used in a variety of force sensing protocols. section that has a much larger cone angle that can be as much as  $45^\circ$  such a structure being optimized for both high throughput light transmission and excellent capabilities for force sensing as a result of having an ultrahigh resonance frequencies for example 500 kHz and a Q factor of 600 and can thus be used in a variety of force sensing protocols.

WHAT IS CLAIMED IS:

1. A device comprised of a tapered glass structure produced from glass tubes by pulling technologies to generate an optimized geometry for high transmission efficiency of electromagnetic radiation.
2. A device as in claim 1 that is made out of a glass capillary.
3. A device as in claim 1 that is made out of an optical fiber.
4. A device as in claim 2 that is bent near the tip to produce a cantilevered structure suitable for normal force sensing in a variety of attractive, repulsive and non-contact imaging modes
5. A device as in claim 3 that is bent near the tip to produce a cantilevered structure suitable for normal force sensing in a variety of attractive, repulsive and non-contact imaging modes
6. A device as in claim 2 that is cantilevered and optimized for delivery of nanoquantities of liquid in a controlled fashion so that chemistry with nanometric control can be performed and this is accomplished by pressure on the liquid column inside the tapered micropipette, by chemical manipulation of the hydrophilicity of the surface and the tip, by the nature of the tapered geometry of the tip and the angle of the cantilever relative to the tip in order to prevent

the spreading of the liquid column on the surface on which nanochemistry is to be performed

5           7. A device as in claim 6 with resonance frequencies that will allow for intermittent contact operation and will effectively permit imposed resonances on the tip that will allow, in the case of a liquid chemical in the tip, for the column of liquid to be effectively and reproducibly broken

10           8. A device as in claim 6 that is also optimized for maximum force sensitivity

9. A device, as in claim 7 that is also optimized for maximum force sensitivity

15           10. A device as in claim 2 that is cantilevered and optimized for ion conductance.

20           11. A device as in claim 10 that optimizes the transmission of light that can excite either directly or through an optical fiber threaded through the micropipette an ion sensing dye in a sol-gel glass at the tip of the micropipette that is also simultaneously conductive to ions and is also capable of static and dynamic force sensing

25           12. A device for the coating of tapered straight and cantilevered structures so that thin films can be deposited on these glass structures by rotation about the axis of the straight glass tip and/or by accurately varying the angle of incidence between the source and the tip target

13. A device for the coating of tapered straight and cantilevered structures for maximal throughput of electromagnetic radiation by controlling the distance accurately between the point of evaporation and the tip of the straight micropipette or fiber or the cantilever of the bent micropipette or fiber and this distance control is related to the diameter of the central rotator of all the tips so that the cotan of the angle between the axis of the straight micropipette or fiber or the cantilever of the bent micropipette or fiber approaches the cos of this same angle.

14. A device for the coating of tapered straight and cantilevered structures for maximal throughput of electromagnetic radiation by controlling the angle as in claim 12 together with the distance as in claim 13 to give most accurate control of the final dimension of the resulting aperture at the tip and controlling the thickness of the coating for maximum throughput of electromagnetic radiation

15. A device in which the force sensing capabilities of the probes as described in claim 1 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface

and/or application of non-contact protocols in measuring surface forces

16. A device in which the force sensing capabilities of the probes as described in claim 2 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

17. A device in which the force sensing capabilities of the probes as described in claim 3 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

18. A device in which the force sensing capabilities of the probes as described in claim 4 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region

where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

19. A device in which the force sensing capabilities of the probes as described in claim 5 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

20. A device in which the force sensing capabilities of the probes as described in claim 6 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface

and/or application of non-contact protocols in measuring surface forces

21. A device in which the force sensing capabilities of the probes as described in claim 7 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

22. A device in which the force sensing capabilities of the probes as described in claim 8 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

23. A device in which the force sensing capabilities of the probes as described in claim 9 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region

where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

24. A device in which the force sensing capabilities of the probes as described in claim 10 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

25. A device in which the force sensing capabilities of the probes as described in claim 11 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface



and/or application of non-contact protocols in measuring surface forces.

26. A method of tapering a glass structure produced from glass tubes by glass pulling technologies to generate an optimized geometry for high transmission efficiency of electromagnetic radiation that is appropriately coated with metal that includes a tapered region which is above the cut-off for the transmission of this radiation having a cone angle of 6-10° and a subsequent tapered section that has a much larger cone angle that can be as much as 45° such a structure being optimized for both high throughput light transmission and excellent capabilities for force sensing as a result of having an ultrahigh resonance frequencies for example 500 kHz and a Q factor of 600 and can thus be used in a variety of force sensing protocols.

27. A method as in claim 26 using a glass capillary

28. A method as in claim 26 using an optical fiber

29. A method as in claim 27 that produces a cantilevered structure by bending the tip so that the structure is suitable for normal force sensing in a variety of attractive, repulsive and non-contact imaging modes.

30. A device as in claim 28 that produces a cantilevered structure by bending the tip so that the structure is suitable for normal force sensing

in a variety of attractive, repulsive and non-contact imaging modes.

31. A method as in claim 27 that produces a cantilevered structure optimized for delivery of nanoquantities of liquid in a controlled fashion so that chemistry with nanometric control can be performed and this is accomplished by pressure on the liquid column inside the tapered micropipette, by chemical manipulation of the hydrophilicity of the surface and the tip, by the nature of the tapered geometry of the tip and the angle of the cantilever relative to the tip in order to prevent the spreading of the liquid column on the surface on which nanochemistry is to be performed .

32. A method as in claim 31 for producing a structure that has resonance frequencies that will allow for intermittent contact operation and will effectively permit imposed resonances on the tip that will allow, in the case of a liquid chemical in the tip, for the column of liquid to be effectively and reproducibly broken.

33. A method as in claim 31 that also optimizes the structure for maximum force sensitivity.

34. A method as in claim 32 that also optimizes the structure for maximum force sensitivity.

35. A method as in claim 27 for producing a cantilevered structure optimized for ion conductance.

36. A method as in claim 35 for producing a structure that optimizes the transmission of light that can excite either directly or through an optical fiber threaded through the micropipette an ion sensing dye at the tip of the micropipette in a sol-gel glass that is also simultaneously conductive to ions and is also capable of static and dynamic force sensing.

37. A method for the coating of tapered straight and cantilevered structures so that thin films can be deposited on these glass structures by rotation about the axis of the straight glass tip and/or by accurately varying the angle of incidence between the source and the tip target.

38. A method for the coating of tapered straight and cantilevered structures for maximal throughput of electromagnetic radiation by controlling the distance accurately between the point of evaporation and the tip of the straight micropipette or fiber or the cantilever of the bent micropipette or fiber and this distance control is related to the diameter of the central rotator of all the tips so that the cotan of the angle between the axis of the straight micropipette or fiber or the cantilever of the bent micropipette or fiber approaches the cos of this same angle.

38. A method for the coating of tapered straight and cantilevered structures for maximal throughput of electromagnetic radiation by controlling the angle as in claim 37 together with the distance as in claim 38 to give most accurate

control of the final dimension of the resulting aperture at the tip and controlling the thickness of the coating for maximum throughput of electromagnetic radiation.

5           40. A method for the optimization of the force sensing capabilities of the probes as described in claim 26 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is  
10 monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response  
15 of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

          41. A method for the optimization of the force sensing capabilities of the probes as  
20 described in claim 28 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can  
25 be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in  
30 measuring surface forces.

          42. A method for optimization of the force sensing capabilities of the probes as described in

claim 29 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

43. A method for optimization of the force sensing capabilities of the probes as described in claim 30 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

44. A method for the optimization of the force sensing capabilities of the probes as described in claim 31 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be

0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

5           45. A method for optimization of the force sensing capabilities of the probes as described in claim 32 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and  
10 this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the  
15 tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

          46. A method for the optimization of the force sensing capabilities of the probes as  
20 described in claim 33 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can  
25 be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in  
30 measuring surface forces.

          47. A method for the optimization of the force sensing capabilities of the probes as

described in claim 34 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

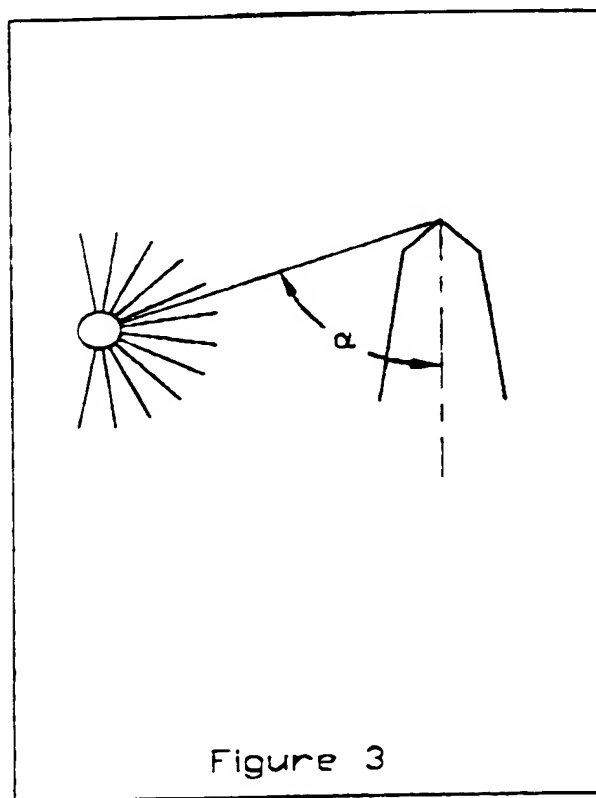
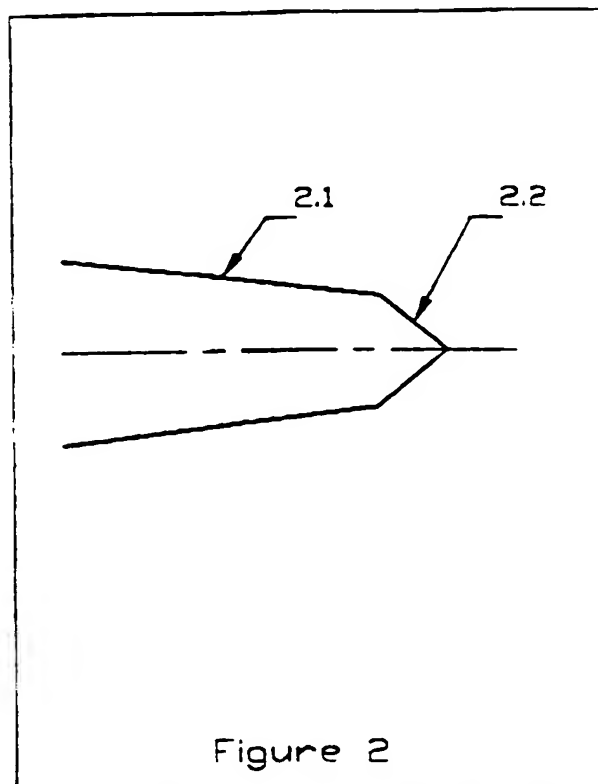
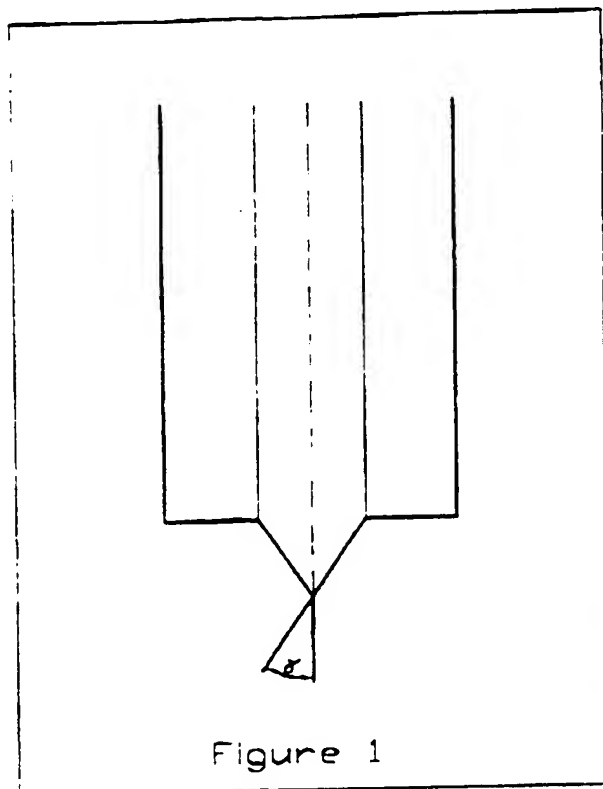
48. A method for the optimization of the force sensing capabilities of the probes as described in claim 35 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

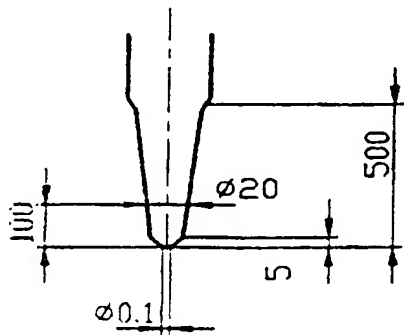
49. A method for the optimization of the force sensing capabilities of the probes as described in claim 36 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be

0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

5           50. A method for the optimization of the  
force sensing capabilities of the probes as  
described in claim 37 so that the dimension of the  
taper of the glass is appropriately chosen in the  
region where the flexibility of the structure is  
10 monitored and this results in a resonance that is  
controlled in frequency and this frequency,  $f$ , can  
be altered by controlling this dimension up to 500  
kHz with a width of the resonance,  $\Delta f$ , that can be  
0.75 kHz and this allows both for maximal response  
15 of the tips to dynamic movements of the surface  
and/or application of non-contact protocols in  
measuring surface forces.

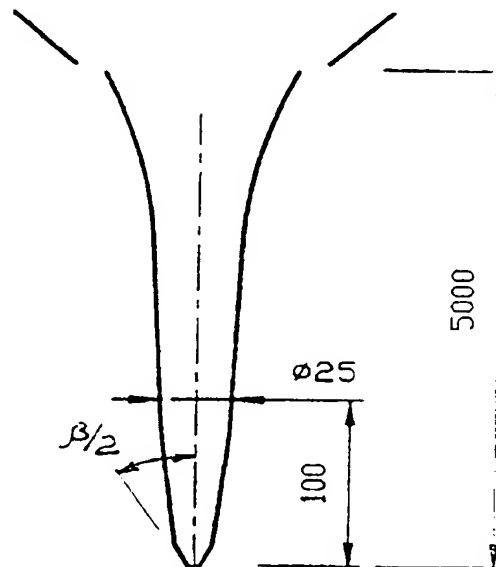






All dimensions are in micrometers

Figure 4a



All dimensions are in micrometers

Figure 4b

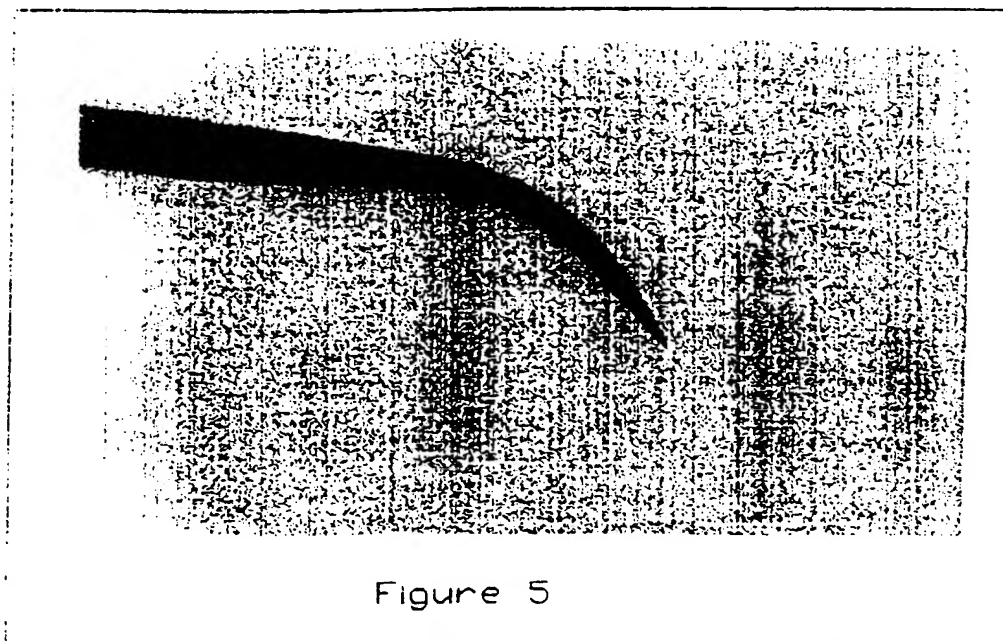
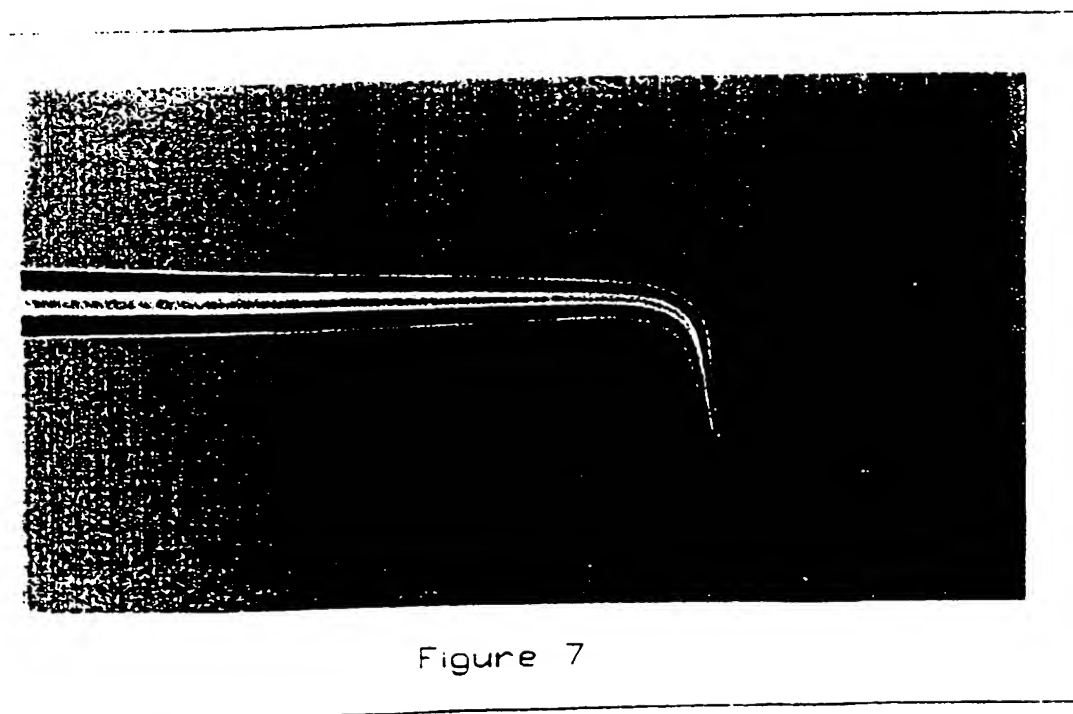
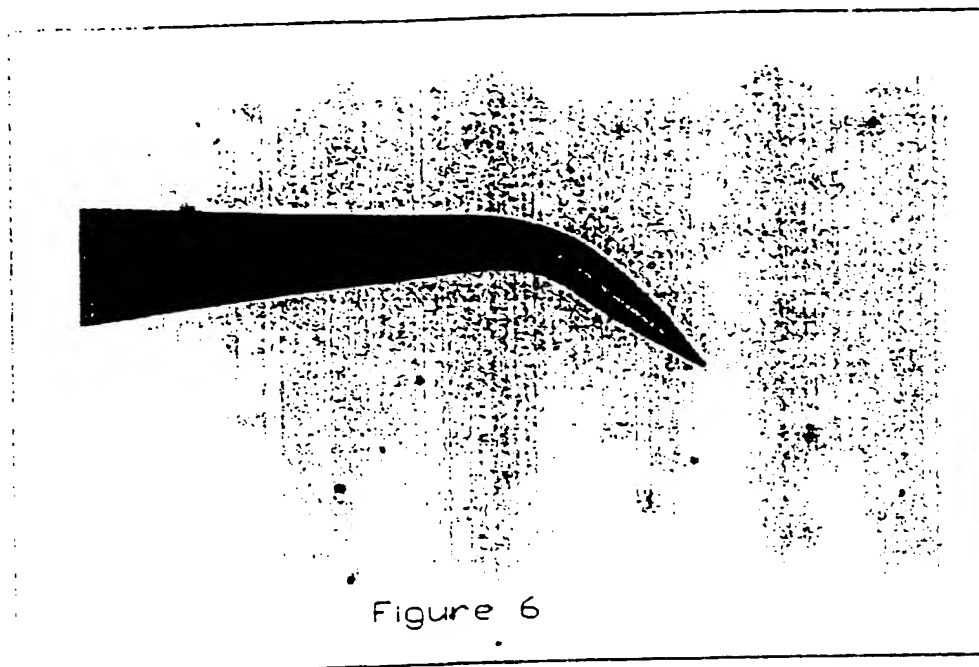


Figure 5



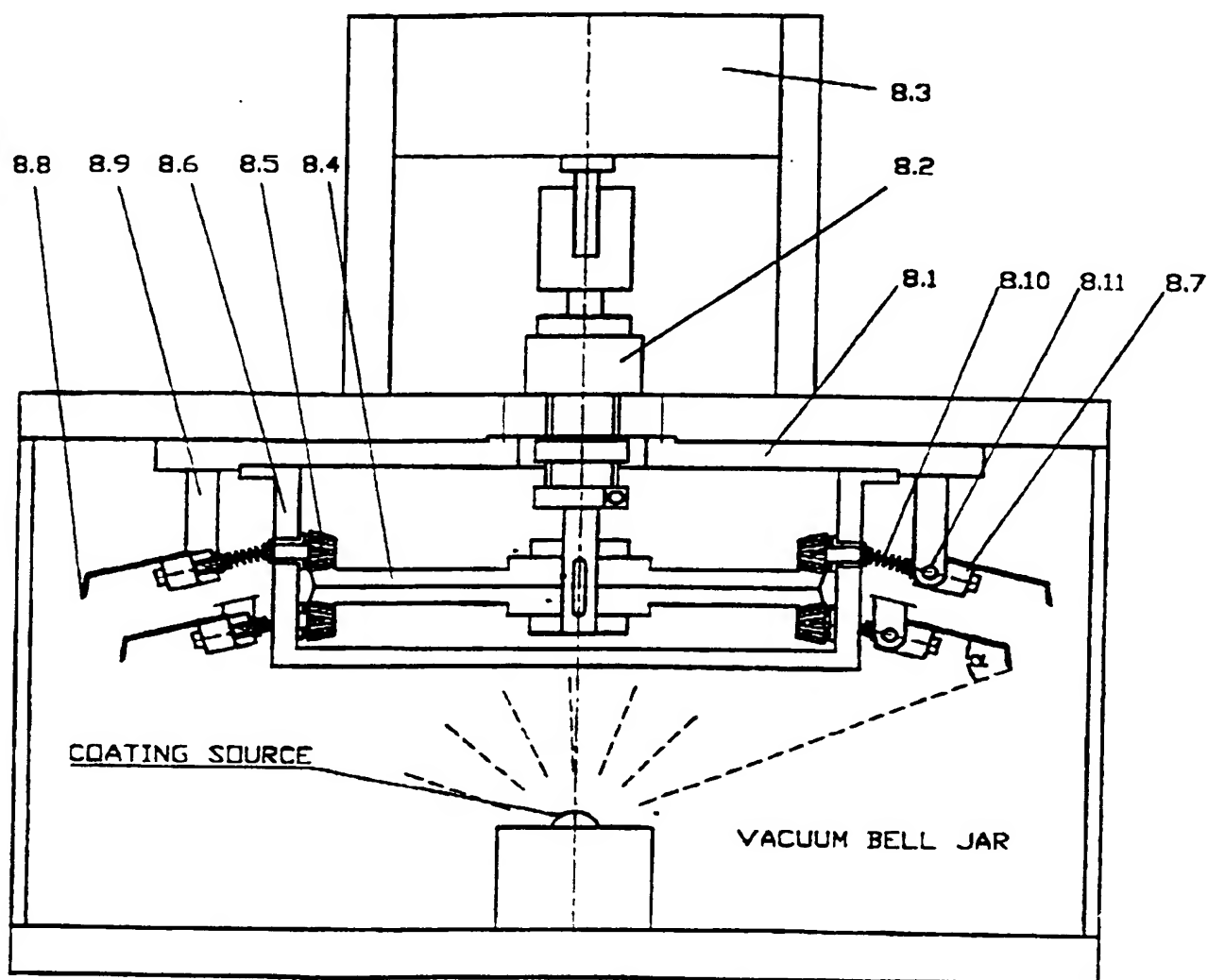


Figure 8



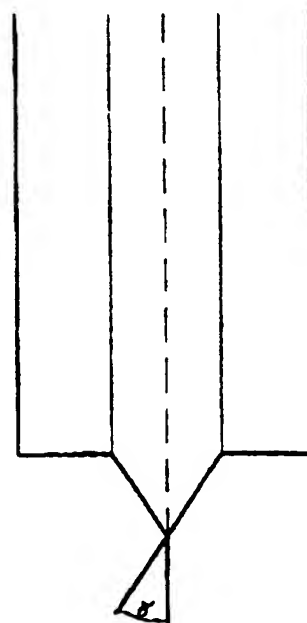
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G02B 6/26</b>		<b>A3</b>	(11) International Publication Number: <b>WO 98/37440</b>
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(22) International Filing Date: 9 February 1998 (09.02.98)			
(30) Priority Data: 120181 9 February 1997 (09.02.97) IL		(81) Designated States: JP, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(71)(72) Applicant and Inventor: LEWIS, Aaron [US/IL]; Neve Shaanan Street18/14, 93708 Jerusalem (IL).		Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(72) Inventors; and (75) Inventors/Applicants (for US only): FISH, Galina [IL/IL]; 166, 90916 Ishuv Nokdim (IL). KOKOTOV, Sophia [IL/IL]; Avnei HaHoshen Street60/4, 98450 Maale Adumim (IL). KHACHAIRYAN, Edward [IL/IL]; Shtern Street63/73, 96750 Jerusalem (IL). IGNATOV, Andrey [IL/IL]; Herzel Street52/9, 96141 Jerusalem (IL). GLAZER, Rimma [IL/IL]; HaNurit Street127/17, Jerusalem (IL). KOMISSAR, Anatoly [IL/IL]; Brazil Street102/17, 96784 Jerusalem (IL). HEIFEZ, Yuri [IL/IL]; Avivit Street122/2, 96507 Jerusalem (IL). STRINKOVSKY, Alina [IL/IL]; Keren HaYesod Street1115/21, 99032 Beit Shemesh (IL). LIEBERMAN, Klony [IL/IL]; Shai Agnon Street14/15, Jerusalem (IL).		(88) Date of publication of the international search report: 8 October 1998 (08.10.98)	

(54) Title: GLASS STRUCTURES FOR NANODELIVERY AND NANOSENSING

## (57) Abstract

Methods for making tapered straight and cantilevered structures and the resulting structures provide maximal nanodelivery of electromagnetic radiation and chemicals and provide nanosensing of ionic phenomena with high efficiency. Glass pulling techniques with specific protocols are applied to glass micropipettes and optical fibers.



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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/01577

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : G02B 6/26

US CL : 385/43, 147, 38, 12

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 385/43, 147, 38, 12, 15.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

USPTO data base APS. Search terms: glass, tube, optical fiber, tapered, sensing.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,877,300 A (NEWHOUSE ET AL) 31 OCTOBER 1989 (31/10/89), see entire document.	1-50
A	US 4,915,467 A (BERKEY) 10 APRIL 1990 (10/04/90), see entire document.	1-50
A	US 5,044,716 A (BERKEY) 03 SEPTEMBER 1991 (03/09/91), see entire document.	1-50



Further documents are listed in the continuation of Box C.



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Date of the actual completion of the international search

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Date of mailing of the international search report

17 AUG 1998

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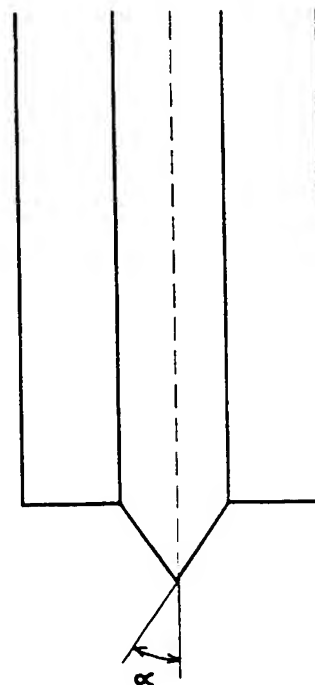
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(72) Inventors; and			
(75) Inventors/Applicants (for US only): FISH, Galina [IL/IL]; 166, 90916 Ishuv Nokdim (IL). KOKOTOV, Sophia [IL/IL]; Avnei HaHoshen Street60/4, 98450 Maale Adumim (IL). KHACHAIRYAN, Edward [IL/IL]; Shtern Street63/73, 96750 Jerusalem (IL). IGNATOV, Andrey [IL/IL]; Herzel Street52/9, 96141 Jerusalem (IL). GLAZER, Rimma [IL/IL]; HaNurit Street127/17, Jerusalem (IL). KOMISSAR, Anatoly [IL/IL]; Brazil Street102/17, 96784 Jerusalem (IL). HEIFEZ, Yuri [IL/IL]; Avivit Street122/2, 96507 Jerusalem (IL). STRINKOVSKY, Alina [IL/IL]; Keren HaYesod Street115/21, 99032 Beit Shemesh (IL). LIEBERMAN, Klony [IL/IL]; Shai Agnon Street14/15, Jerusalem (IL).			
(88) Date of publication of the international search report: 8 October 1998 (08.10.98)			

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Methods for making tapered straight and cantilevered structures and the resulting structures provide maximal nanodelivery of electromagnetic radiation and chemicals and provide nanosensing of ionic phenomena with high efficiency. Glass pulling techniques with specific protocols are applied to glass micropipettes and optical fibers.



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## GLASS STRUCTURES FOR NANODELIVERY AND NANOSENSING

5     1. Field of the Invention

          This invention allows the production of tapered straight and cantilevered structures that are optimized for the maximal nanodelivery of electromagnetic radiation and chemicals and for  
10     the maximal sensitivity in the nanosensing of ionic phenomena with high efficiency. These structures are generated so that they can simultaneously act as force sensors with excellent dynamic characteristics. Unlike previous attempts  
15     at obtaining such elements with chemical etching techniques our methodology is based solely on the application of glass pulling technologies with specific protocols that can minimize the subwavelength travel of light waves and can  
20     maximize the dynamic capabilities of these devices. Unlike the glass etching techniques these glass pulling technologies are universally applicable to glass micropipettes and fibers. In addition optimal geometries of force sensing glass  
25     micropipettes are invented for the optimal delivery of chemicals in nanoquantities in a defined and in a nanometrically controlled fashion.

2. Background of the Invention

30     This invention is based on advances in near-field optics.

          Near-field optics is the development of optical elements that can work in the near-field of an object that is to be interrogated by light.  
35     In essence, the objective is to develop optical

elements that can illuminate, detect and/or enhance optical phenomena within a distance, from the object, that is considerably less than the dimensions of one wavelength. Conventional optical instruments all of which work in the far-field are based on lenses which critically depend on the wave nature of light. Thus, these elements are inherently limited to operation in the far-field with associated problems of diffraction that intrinsically limits resolution to approximately half the wavelength of light. Near-field optical elements not only overcome this diffraction limit of resolution but also relax the wavelength dependence of optical resolution. In its simplest implementation [A. Lewis, M. Isaacson, A. Hartoonian and A. Murray, Biophysical J. 41, 405a (1983); Ultramicroscopy 13, 227 (1984).] near-field optics involves transmitting light through a subwavelength aperture that is brought in close proximity to a surface. The sample or the aperture is then scanned in order to obtain an image or create a pattern with the subwavelength spot of light that emanates from this near-field optical element.

### 25     3. State of Prior Art

          The method of choice that is used throughout the world today to produce near-field optical elements was developed by Lewis et al. [United States Patent Number 4,917,462]. The essence of the methodology of Lewis et al was to use heating, tension and pulling with microprocessor control to produce tapered glass structures that were subsequently coated with metal to form a subwavelength aperture. This was a simple, cheap

and reliable method of producing these subwavelength optical elements. Nonetheless, these elements were produced without much regard to the geometry of the subwavelength tip in terms of reducing the subwavelength region through which the light wave traversed. It is important to realize that the technology in the Lewis et al patent was a general methodology for producing these subwavelength tips through which subwavelength points of light could be produced. This generality can be seen by the fact that the same technology could be used to produce tapered optical elements made out of glass capillaries [A. Harootunian, E. Betzig, M. S. Isaacson and A. Lewis, Appl. Phys. Lett. 49, 674 (1986)] or glass fibers [Betzig E., Trautman J. K., Harris T. D., Weiner J. S. and Kostelak R. L., Science 251, 1468 (1991)]. All of these structures, however, had less than optimized geometries at the tip and thus there were significant evanescent losses that resulted from the transmission of the electromagnetic radiation through the tip.

The first attempts at resolving this problem were made by workers who realized that geometries at the tip that were closer to what would be optimal for high transmission of the light wave through the subwavelength region could be approached with chemical etching of glass fibers [Ohtsu, M., S. Juang, T. Pangaribuan and M. Kozuma, Proceedings of NFO-1, 131-139 (1993); Jiang, S., Ohsawa, H., Yamada, K., Pangaribuan, T., Ohtsu, M., Imai, K., and Ikai, A., Jpn. J. Appl. Phys. 31, 2282 (1992)] without any previous tapering. Such structures had the potential to generate high throughputs if the coating of these

structures could be effectively performed. The coating, however, was problematic since the etching technology resulted in damaged surfaces that were difficult to impossible to coat successfully. In addition, the angle required for coating such an etched structure was not compatible with the geometry of such untapered etched fibers. Furthermore, the geometry of such untapered elements (see Figure 1) perturbed significantly the ability of such elements to track rough surfaces. Finally, the untapered nature of these elements made the glass leading to the subwavelength tip very stiff and this crucially reduced the ability of such tips to monitor surface forces. It was this sensitivity of the tapered tips to surface forces [Shalom S., Lieberman K. Lewis A. and Cohen S. R., Rev. Sci. Instrm. 63, 4061 (1992)] that allowed the resolution of one of the principle problems of near-field optics which was the ability to bring such a subwavelength tip close to a surface that was to be interrogated. Also none of the etching methodologies could be applied to tapered micropipette structures whereas the pulling technology is applicable to both tapered micropipettes and optical fibers.

In view of all of these factors it would be best if the standard tapering methodology could be extended to produce a high throughput tip that would also be capable of effectively sensing surface forces.

#### 4. Summary of the Invention

This invention uses pulling technologies as applied to glass tubes to generate without any

chemical etching a profile that is ideal to produce a high transmission subwavelength optical aperture with very good atomic force sensing capabilities. The same pulling technology with small amendments can be used to optimize similar structures that can combine force sensing capabilities with such applications as the nanodelivery of materials to surfaces.

#### 5. Brief Description of the Drawings

The objects, features and advantages of the present invention will be understood by those of skill in the art from the following detailed description thereof, taken with the accompanying drawings, in which:

Figure 1 is a diagrammatic illustration of a glass tube tapered in accordance with the present invention;

Figure 2 is a diagrammatic illustration of a tube tapered during a second tapering cycle;

Figure 3 illustrates a mechanism for coating a tapered optical fiber;

Figure 4a illustrates a preferred optical fiber taper for force sensing application;

Figure 4b illustrates a preferred micropipette taper for force sensing applications;

Figure 5 illustrates a cantilevered bent fiber tip;

Figure 6 illustrates a cantilevered pipette constructed in accordance with the invention;

Figure 7 illustrates a cantilevered pipette used for chemistry; and

Figure 8 illustrates a device for applying coating to structures.

## 6. Description of the Invention

With pulling technology there are many parameters that can be adjusted to obtain the optimal profile. These are: 1. heat or  
5 temperature, 2. the geometric region that is heated, called the filament, 3. the velocity of the pulling that is measured as the glass softens and begins to separate under a constant load and this velocity is determined by the time of heating, 4.  
10 the delay between the start of the pulling and turning off the heating element and 5. the tension of the pulling. These can all be microprocessor controlled to develop a geometry in which the glass is first tapered to a dimensionality that is  
15 above the cut-off for the propagation of electromagnetic radiation in a metallic waveguide (Figure 2.1) and is then cooled before a second tapering cycle is entered in which a cone angle between 30-40° (Figure 2.2) is achieved by  
20 multiple pulling cycles. This geometry is shown in Figure 2. The number of these later cycles depends on the final outer diameter of the tip. In addition to the above these parameters can be altered in order to tailor the devices for other  
25 applications such as chemical delivery of materials in nanodimensionalities, optical ion sensing and to optimize the probes for the dynamic movements of the surfaces of the sample initiated by some external stimulus such as light, sound,  
30 electricity etc.

### 5.1 Straight optical elements for maximum throughput of electromagnetic radiation

#### 5.1.1 Tapered Optical Fibers



5.1.1.1 Pulling

The above pulling technology can be applied with the following parameters for straight optical

5 fibers in order to get the maximum powers described in this patent.

For the first taper appropriate parameters would be:

Heat	Filament	Velocity	Delay	Pulling
250	3	80	250	10

10 For the second taper there is a series of three pulls for a 0.1 micron tip with parameters that could be:

	Heat	Filament	Velocity	Delay	Pulling
	250	0	7	150	10
15	250	0	10	150	60
	290	0	15	126	100

5.1.1.2 Coating

20 The coating is accomplished with vacuum deposition of metal. One particular example is a thin chrome coating that is then overcoated with a thicker coating of aluminum. For straight elements this coating is accomplished with an angle of 70° between the normal of the optical element and a line drawn between the tip and the

25 filament (see Figure 3). This angle,  $\alpha$ , is crucial for the final dimension of the subwavelength aperture at the tip of this second region of taper. The larger the distance between the filament and the tip the more control of the

30 accuracy of the dimension of the aperture. This

occurs because the cotan  $\alpha$  approaches the cos of this same angle.

#### 5.1.1.3 Force Sensing Capabilities

5 To optimize the force sensing capabilities of these tips the initial taper dimension is chosen to be approximately 20 microns in diameter for region of 100 microns length just above the second taper (see Figure 4 a). This is the region in straight fibers that the flexibility of the structure is monitored. This dimensionality controls the resonance frequency and this frequency,  $f$ , can be altered up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz.

#### 5.1.2 Tapered Micropipettes

##### 5.1.2.1 Pulling

20 Unlike the etching methodologies, the same pulling technology can be also applied to micropipettes. Such micropipettes have important applications in guiding deep ultraviolet light where optical fibers are not effective. To obtain the optimal parameters we use the following protocol:

	Heat	Filament	Velocity	Delay	Pulling
25	390	15	105	200	20

For the second taper there is a series of three pulls for a 0.1 micron tip with parameters that could be:

Heat	Filament	Velocity	Delay	Pulling
220	0	5	200	18
200	0	5	200	15
200	0	30	126	40

#### 5 5.1.2.2 Coating

The coating is accomplished with vacuum deposition of metal. One particular example is a thin chrome coating that is then overcoated with a thicker coating of aluminum. For straight elements this coating is accomplished with an angle of  $70^\circ$  between the normal of the optical element and a line drawn between the tip and the filament (see Figure 3). This angle is crucial for the final dimension of the subwavelength aperture at the tip of this second region of taper. The larger the distance between the filament and the tip the more control of the accuracy of the dimension of the aperture. This occurs because the cotan  $\alpha$  approaches the cos of this same angle.

#### 5.1.2.3 Force Sensing Capabilities

To optimize the force sensing capabilities of these tips the initial taper dimension is chosen to be approximately 25 microns in diameter for region of 100 microns length just above the second taper (see Figure 4 b). This is the region in straight tips that the flexibility of the structure is monitored. This dimensionality controls the resonance frequency and this frequency,  $f$ , can be altered up to 60 kHz with a width of the resonance,  $\Delta f$ , that can be 0.3 kHz.

### 5.1.3 Cantilevered Fibers

Fibers are bent at the tip according to the procedure that is described by K. Lieberman and A. Lewis, "A Method and a Device for Probe Microscopy" [PCT Application Serial Number PCT/US94/08691]. This bent fiber structure is seen in Figure 5. The angle of the bend in this structure is between 45-60°. The pulling, coating and the resulting resonance frequencies are the same except that the angle of the coating is 85°. In the case of these cantilevered elements such elements can be used for the dynamic sensing of surface movement initiated by an external stimulus such as light, sound, electricity etc.

### 5.1.4 Cantilevered Pipettes

The pulling and coating parameters are the same as described above for straight pipettes. However, during the bending operation as described in the Lieberman and Lewis patent application [PCT Application Serial Number PCT/US94/08691] care has to be taken not to block the hollow central core of the pipette. In the case of these cantilevered elements such elements can be used for the dynamic sensing of surface movement initiated by an external stimulus such as light, sound, electricity etc.

#### 5.1.4.1 Cantilevered Pipettes For Light

The structure that is obtained by these pulling parameters is shown in Figure 6. This geometric structure is similar in overall characteristics to what has been obtained with a bent fiber. However, the resonance frequencies

that can be achieved for this structure are somewhat lower.

#### 5.1.4.2 Cantilevered Pipettes For Chemistry

5 In this application a liquid or a gas is transmitted through the narrow opening at the tip of the cantilevered micropipette. Such an uncoated tapered micropipette with force sensing capabilities and a reflective coating only on the cantilever allows the tip to be brought into  
10 contact with a surface and this permits the delivery of nanoquantities of material onto the surface. This causes a chemical change on appropriately chosen surfaces if the nature of the chemical in the tip and the chemical in the  
15 surface are reactive one with the other.

In the case of a liquid, under one method of control of the liquid that exists, the tip is accomplished by pressure of the liquid column, by chemical manipulation of the hydrophilicity of  
20 the surface and the tip and by the nature of the tip geometry. Specifically on this last parameter the requirement is exactly opposite to what is required for the light funnels tips discussed above. When the surface is  
25 appropriately wet by the material in the tip the cone angle of the tip can be as small as  $7^\circ$ . This prevents spreading of the liquid column. Measured resonance frequencies of these structures have been measured at 200 kHz. Additional  
30 characteristics that are important in such tips is the need to have high resonance frequencies that will allow for intermittent contact operation and to effectively permit imposed resonances on the tip that will allow, in the case of a liquid

chemical in the tip, for the column of liquid to be effectively and reproducibly broken. Another characteristic difference for chemicals exiting the tip that wet surfaces is the angle of the bend and this angle can reach 90° instead of the 60° that is more characteristic of the light funnels (Fig.7). For other surfaces/tip chemical combinations other angles, tip geometries and resonance frequencies have to be chosen for the most appropriate writing with such tips. Also the force sensing capabilities are of importance in order to give the best sensitivity for measuring the chemical alterations on the surface that have occurred.

5.1.4.3 Cantilevered Tapered Micropipettes For Ion Conductance Microscopy

The pulling and coating parameters are similar to those that are described in the tips that are used for chemical delivery.

5.1.4.4 Cantilevered Tapered Micropipettes For Combined Ion Conductance and Optical Ion Sensing

The pulling and coating parameters are similar to those that are described in the tips that are used for nanodelivery of light since fibers are inserted to illuminate an ion sensing dye filled sol-gel tip from the inside of the micropipette. An additional characteristic is that the tip has to generate sufficient capillary forces to draw a sol-gel into the tip in which there is a dye which changes in optical characteristics with surrounding ion concentration. These sol-gels are conductive to liquid and can be used for both ion conductance

and optical ion sensing. It is best that such structures that are to be used in optics are completely coated with metal as is the case in the optical funnels. Materials other than sol-gels  
5 can also be used to act as vessels for these dyes.

### 5.3 NanoSensing of Surface Dynamics

Optimization of the force sensing capabilities of the probes described above is achieved by modulating the dimension of the taper  
10 of the glass in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency. By controlling this dimension the frequency,  $f$ , can be altered by up to 500 kHz with a width of the  
15 resonance,  $\Delta f$ , that can be 0.75 kHz. This allows for maximal response of the tips to dynamic movements of the surface and/or the maximal sensitivity of the tips for the application of non-contact protocols in measuring surface forces.

### 20 5.4 A Device for Appropriate Coating of the Structures Described Above

To achieve appropriate coatings a device was constructed as shown in Figure 8. This device is based on vacuum bell jar and consists of a base  
25 8.1, rotating sealed shaft 8.2, electromotor 8.3, drive gear wheel 8.4 and set of driven gear wheels 8.5 that are mounted on the periphery of a cup 8.6. Rotating mounts 8.7 of tapered straight and/or cantilevered micropipettes and fibers 8.8  
30 are mounted on pillars 8.9 and connected with driven wheel axles by flexible shafts 8.10. The device operates as following: The motor 8.3 rotates shaft 8.2, which rotates wheel 8.4 and

wheels 8.5. Furthermore, the rotary motion is transmitted on mounts 8.7 with fibers 8.8 by shafts 8.10. The angle of the tapered glass elements may be changed by a mount that can be  
5 raised and lowered and can turn around on the axle 8.11. So that the rotation of the tapered straight or cantilevered micropipette or fiber during the coating process provides uniformity of deposition, and its rotation provides the opportunity to  
10 prevent the coating of the tip itself.

#### 6. Advantages Over Prior Art

The techniques described in this patent that can produce high efficiency throughput of light through tapered glass structures with  
15 subwavelength apertures at the tip are unique. Previously, all such attempts at producing such structures were based on etching with chemicals. We have achieved high throughputs by inventing a series of pulling protocols that permit very high  
20 efficiency transmission of light with excellent capabilities for all force sensing protocols. This has never been achieved before. The throughputs that we have achieved permit the generation of ultrasmall spots of light that could  
25 extend to below 20 nm with significant intensities of light in these tips. In addition with small amendments these structures can be altered for excellent delivery of nanoquantities of chemicals with nanometric control of chemistry using the  
30 force sensing capabilities of these structures and other uses such as combined force and ion sensing etc.



## 7. Applications

A variety of applications are envisioned in optics, electronics, chemistry, physics and biology where light of small dimensions is required. Where simultaneous force imaging is required correlated with optical images these elements can also be used. In addition the structures that have been amended slightly to deliver nanoquantities of chemicals effectively can also be used in a variety of applications where nanometric control of chemistry is required.

While the invention has been described in its presently preferred embodiment, it will be understood that certain modifications can be made in the basic design without departing from the spirit of the invention as set forth in the appended claims that is appropriately coated with metal that includes a tapered region which is above the cut-off for the transmission of this radiation having a cone angle of 6-10° and a subsequent tapered section that has a much larger cone angle that can be as much as 45° such a structure being optimized for both high throughput light transmission and excellent capabilities for force sensing as a result of having an ultrahigh resonance frequencies for example 500 kHz and a Q factor of 600 and can thus be used in a variety of force sensing protocols. section that has a much larger cone angle that can be as much as 45° such a structure being optimized for both high throughput light transmission and excellent capabilities for force sensing as a result of having an ultrahigh resonance frequencies for example 500 kHz and a Q factor of 600 and can thus be used in a variety of force sensing protocols.

WHAT IS CLAIMED IS:

1. A device comprised of a tapered glass structure produced from glass tubes by pulling technologies to generate an optimized geometry for high transmission efficiency of electromagnetic radiation.
2. A device as in claim 1 that is made out of a glass capillary.
3. A device as in claim 1 that is made out of an optical fiber.
4. A device as in claim 2 that is bent near the tip to produce a cantilevered structure suitable for normal force sensing in a variety of attractive, repulsive and non-contact imaging modes
5. A device as in claim 3 that is bent near the tip to produce a cantilevered structure suitable for normal force sensing in a variety of attractive, repulsive and non-contact imaging modes
6. A device as in claim 2 that is cantilevered and optimized for delivery of nanoquantities of liquid in a controlled fashion so that chemistry with nanometric control can be performed and this is accomplished by pressure on the liquid column inside the tapered micropipette, by chemical manipulation of the hydrophillicity of the surface and the tip, by the nature of the tapered geometry of the tip and the angle of the cantilever relative to the tip in order to prevent

the spreading of the liquid column on the surface on which nanochemistry is to be performed

5           7. A device as in claim 6 with resonance frequencies that will allow for intermittent contact operation and will effectively permit imposed resonances on the tip that will allow, in the case of a liquid chemical in the tip, for the column of liquid to be effectively and reproducibly broken

10           8. A device as in claim 6 that is also optimized for maximum force sensitivity

9. A device, as in claim 7 that is also optimized for maximum force sensitivity

15           10. A device as in claim 2 that is cantilevered and optimized for ion conductance.

20           11. A device as in claim 10 that optimizes the transmission of light that can excite either directly or through an optical fiber threaded through the micropipette an ion sensing dye in a sol-gel glass at the tip of the micropipette that is also simultaneously conductive to ions and is also capable of static and dynamic force sensing

25           12. A device for the coating of tapered straight and cantilevered structures so that thin films can be deposited on these glass structures by rotation about the axis of the straight glass tip and/or by accurately varying the angle of incidence between the source and the tip target

13. A device for the coating of tapered straight and cantilevered structures for maximal throughput of electromagnetic radiation by controlling the distance accurately between the point of evaporation and the tip of the straight micropipette or fiber or the cantilever of the bent micropipette or fiber and this distance control is related to the diameter of the central rotator of all the tips so that the cotan of the angle between the axis of the straight micropipette or fiber or the cantilever of the bent micropipette or fiber approaches the cos of this same angle.

14. A device for the coating of tapered straight and cantilevered structures for maximal throughput of electromagnetic radiation by controlling the angle as in claim 12 together with the distance as in claim 13 to give most accurate control of the final dimension of the resulting aperture at the tip and controlling the thickness of the coating for maximum throughput of electromagnetic radiation

15. A device in which the force sensing capabilities of the probes as described in claim 1 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface

and/or application of non-contact protocols in measuring surface forces

16. A device in which the force sensing capabilities of the probes as described in claim 2 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

17. A device in which the force sensing capabilities of the probes as described in claim 3 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

18. A device in which the force sensing capabilities of the probes as described in claim 4 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region

where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

10           19. A device in which the force sensing capabilities of the probes as described in claim 5 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is  
15 monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response  
20 of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

25           20. A device in which the force sensing capabilities of the probes as described in claim 6 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can  
30 be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface

and/or application of non-contact protocols in measuring surface forces

21. A device in which the force sensing capabilities of the probes as described in claim 7 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

22. A device in which the force sensing capabilities of the probes as described in claim 8 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces

23. A device in which the force sensing capabilities of the probes as described in claim 9 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region

where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

24. A device in which the force sensing capabilities of the probes as described in claim 10 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

25. A device in which the force sensing capabilities of the probes as described in claim 11 are optimized so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface



and/or application of non-contact protocols in measuring surface forces.

26. A method of tapering a glass structure produced from glass tubes by glass pulling technologies to generate an optimized geometry for high transmission efficiency of electromagnetic radiation that is appropriately coated with metal that includes a tapered region which is above the cut-off for the transmission of this radiation having a cone angle of 6-10° and a subsequent tapered section that has a much larger cone angle that can be as much as 45° such a structure being optimized for both high throughput light transmission and excellent capabilities for force sensing as a result of having an ultrahigh resonance frequencies for example 500 kHz and a Q factor of 600 and can thus be used in a variety of force sensing protocols.

27. A method as in claim 26 using a glass capillary

28. A method as in claim 26 using an optical fiber

29. A method as in claim 27 that produces a cantilevered structure by bending the tip so that the structure is suitable for normal force sensing in a variety of attractive, repulsive and non-contact imaging modes.

30. A device as in claim 28 that produces a cantilevered structure by bending the tip so that the structure is suitable for normal force sensing

in a variety of attractive, repulsive and non-contact imaging modes.

31. A method as in claim 27 that produces a cantilevered structure optimized for delivery of nanoquantities of liquid in a controlled fashion so that chemistry with nanometric control can be performed and this is accomplished by pressure on the liquid column inside the tapered micropipette, by chemical manipulation of the hydrophilicity of the surface and the tip, by the nature of the tapered geometry of the tip and the angle of the cantilever relative to the tip in order to prevent the spreading of the liquid column on the surface on which nanochemistry is to be performed .

32. A method as in claim 31 for producing a structure that has resonance frequencies that will allow for intermittent contact operation and will effectively permit imposed resonances on the tip that will allow, in the case of a liquid chemical in the tip, for the column of liquid to be effectively and reproducibly broken.

33. A method as in claim 31 that also optimizes the structure for maximum force sensitivity.

34. A method as in claim 32 that also optimizes the structure for maximum force sensitivity.

35. A method as in claim 27 for producing a cantilevered structure optimized for ion conductance.

36. A method as in claim 35 for producing a structure that optimizes the transmission of light that can excite either directly or through an optical fiber threaded through the micropipette an ion sensing dye at the tip of the micropipette in a sol-gel glass that is also simultaneously conductive to ions and is also capable of static and dynamic force sensing.

37. A method for the coating of tapered straight and cantilevered structures so that thin films can be deposited on these glass structures by rotation about the axis of the straight glass tip and/or by accurately varying the angle of incidence between the source and the tip target.

38. A method for the coating of tapered straight and cantilevered structures for maximal throughput of electromagnetic radiation by controlling the distance accurately between the point of evaporation and the tip of the straight micropipette or fiber or the cantilever of the bent micropipette or fiber and this distance control is related to the diameter of the central rotator of all the tips so that the cotan of the angle between the axis of the straight micropipette or fiber or the cantilever of the bent micropipette or fiber approaches the cos of this same angle.

38. A method for the coating of tapered straight and cantilevered structures for maximal throughput of electromagnetic radiation by controlling the angle as in claim 37 together with the distance as in claim 38 to give most accurate

control of the final dimension of the resulting aperture at the tip and controlling the thickness of the coating for maximum throughput of electromagnetic radiation.

5           40. A method for the optimization of the force sensing capabilities of the probes as described in claim 26 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is  
10 monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response  
15 of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

          41. A method for the optimization of the force sensing capabilities of the probes as  
20 described in claim 28 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can  
25 be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in  
30 measuring surface forces.

          42. A method for optimization of the force sensing capabilities of the probes as described in

claim 29 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

43. A method for optimization of the force sensing capabilities of the probes as described in claim 30 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

44. A method for the optimization of the force sensing capabilities of the probes as described in claim 31 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be

0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

5           45. A method for optimization of the force sensing capabilities of the probes as described in claim 32 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and  
10 this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the  
15 tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

          46. A method for the optimization of the force sensing capabilities of the probes as  
20 described in claim 33 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can  
25 be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in  
30 measuring surface forces.

          47. A method for the optimization of the force sensing capabilities of the probes as

described in claim 34 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

48. A method for the optimization of the force sensing capabilities of the probes as described in claim 35 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

49. A method for the optimization of the force sensing capabilities of the probes as described in claim 36 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be

0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.

- 5           50. A method for the optimization of the force sensing capabilities of the probes as described in claim 37 so that the dimension of the taper of the glass is appropriately chosen in the region where the flexibility of the structure is  
10 monitored and this results in a resonance that is controlled in frequency and this frequency,  $f$ , can be altered by controlling this dimension up to 500 kHz with a width of the resonance,  $\Delta f$ , that can be  
15 0.75 kHz and this allows both for maximal response of the tips to dynamic movements of the surface and/or application of non-contact protocols in measuring surface forces.



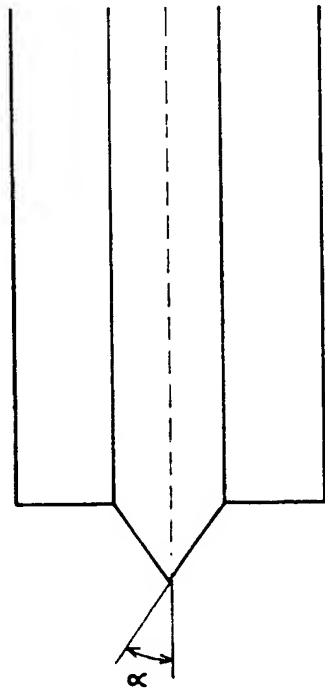


FIG. 1

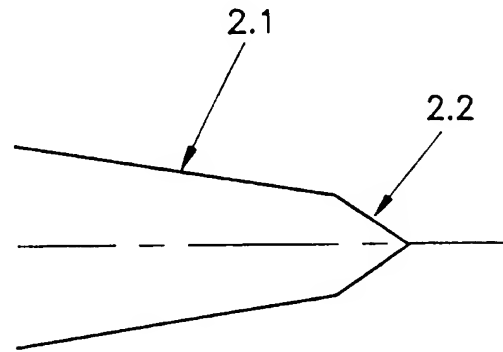


FIG. 2

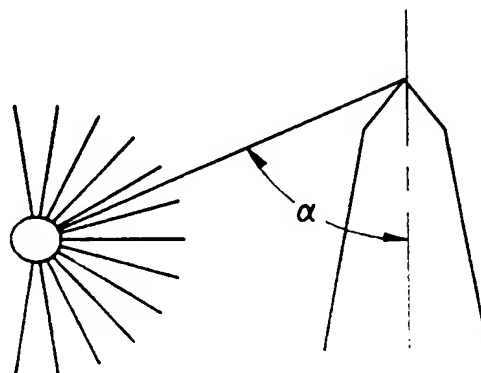
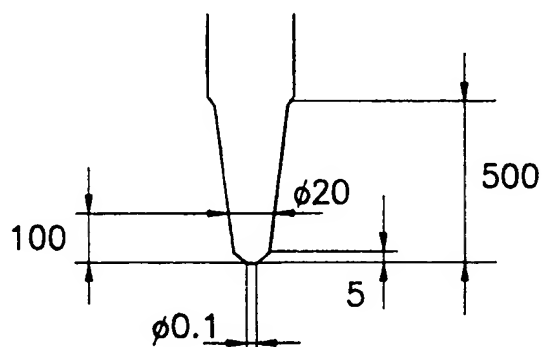


FIG. 3

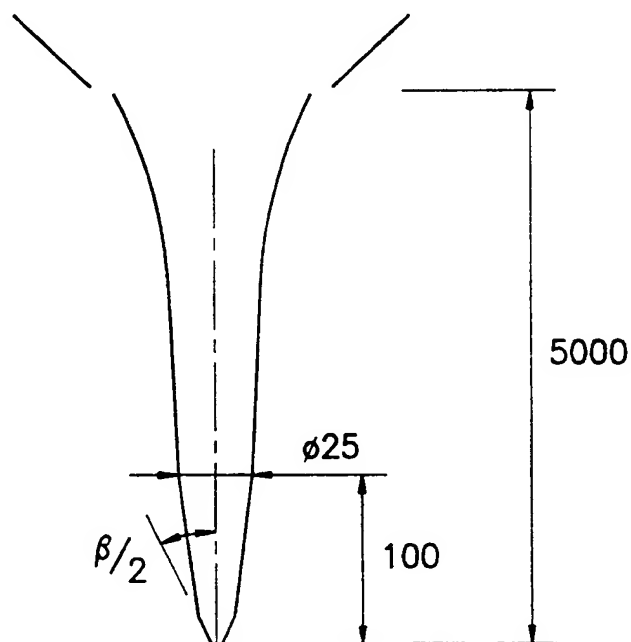
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All dimensions are in micrometers

FIG. 4a



All dimensions are in micrometers

FIG. 4b

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FIG. 5

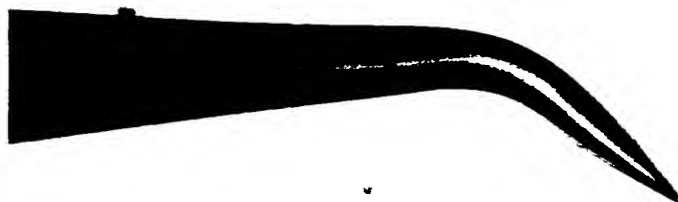


FIG. 6

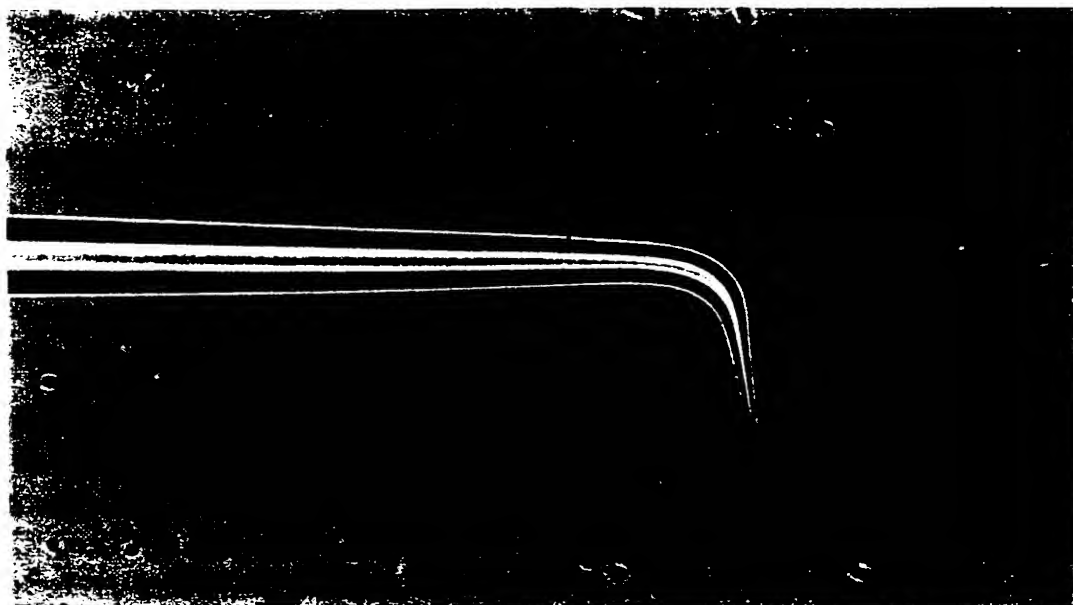


FIG. 7

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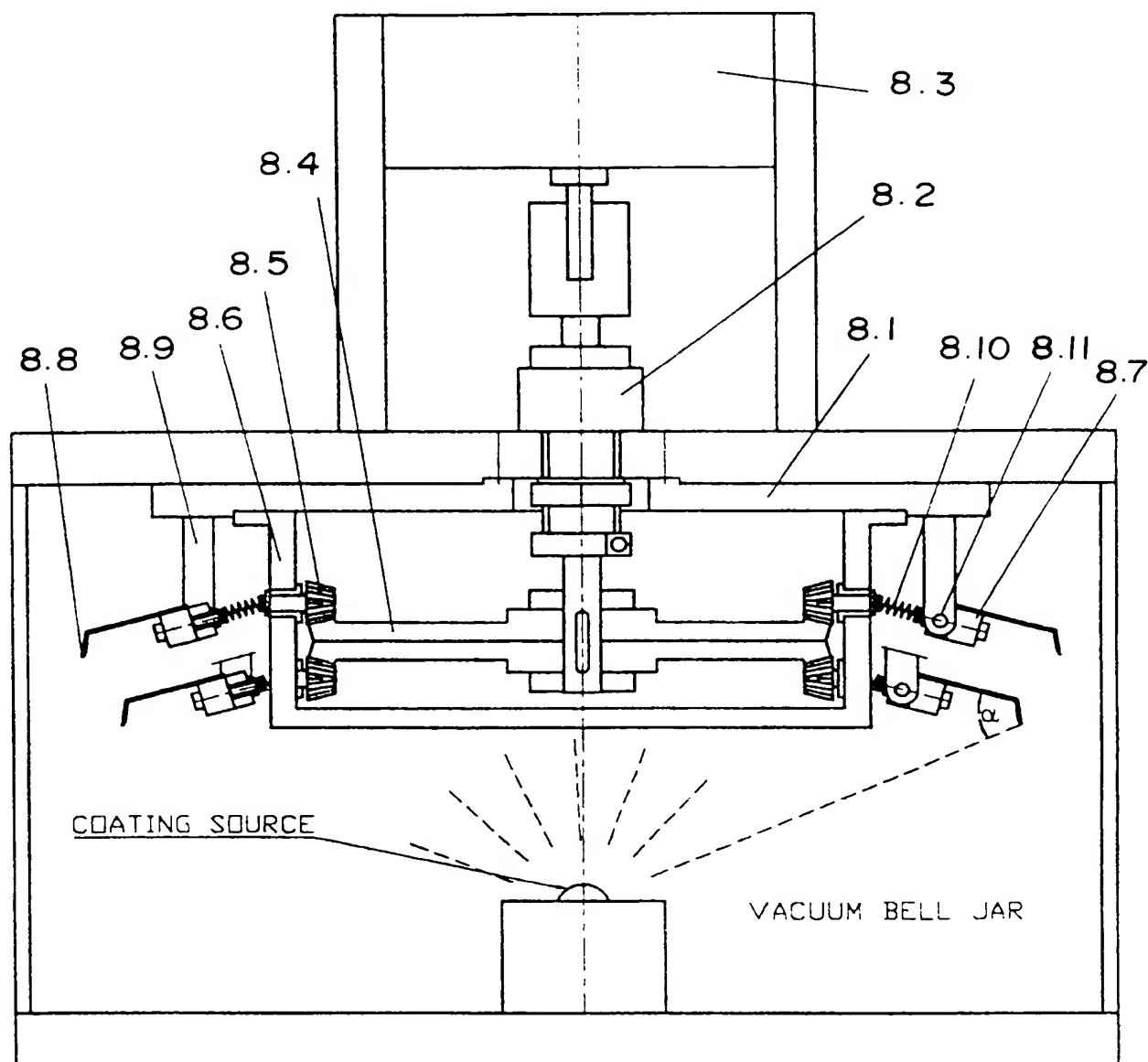


FIG. 8

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/01577

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : G02B 6/26

US CL : 385/43, 147, 38, 12

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 385/43, 147, 38, 12, 15.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

USPTO data base APS. Search terms: glass, tube, optical fiber, tapered, sensing.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,877,300 A (NEWHOUSE ET AL) 31 OCTOBER 1989 (31/10/89), see entire document.	1-50
A	US 4,915,467 A (BERKEY) 10 APRIL 1990 (10/04/90), see entire document.	1-50
A	US 5,044,716 A (BERKEY) 03 SEPTEMBER 1991 (03/09/91), see entire document.	1-50

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

20 MAY 1998

Date of mailing of the international search report

17 AUG 1998

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